

# Abrashkin's work on the higher ramification filtration

## Part 1: Background

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## Notation

Let  $K$  be a local field, complete w.r.t. the valuation  $v_K : K^\times \rightarrow \mathbb{Z}$ . Define

$$\mathcal{O}_K = \{x \in K : v_K(x) \geq 0\}$$

$$\mathcal{P}_K = \{x \in K : v_K(x) > 0\}.$$

Assume that  $k = \mathcal{O}_K/\mathcal{P}_K$  is a finite field of characteristic  $p$ .

If  $\text{char}(K) = 0$  then  $K$  is a finite extension of  $\mathbb{Q}_p$ .

If  $\text{char}(K) = p$  then  $K \cong k((t))$ .

Let  $\pi_K$  be a uniformizer for  $K$ . Then  $v_K(\pi_K) = 1$  and  $\mathcal{P}_K = \pi_K \mathcal{O}_K$ .

Let  $L/K$  be a finite separable extension. Then  $L$  is a local field, and  $v_K$  extends to a valuation on  $L$ , which we also denote by  $v_K$ .

Let  $e_{L/K} = v_L(\pi_K)$  denote the ramification index of  $L/K$ . Then

$$v_K(L^\times) = \frac{1}{e_{L/K}} \cdot \mathbb{Z}.$$

Say the extension  $L/K$  is unramified if  $e_{L/K} = 1$ ; say  $L/K$  is totally ramified if  $e_{L/K} = [L : K]$ .

## Local class field theory

Let  $K^{sep}/K$  be a separable closure of  $K$  and let  $K^{ab}/K$  be the largest subextension of  $K^{sep}/K$  which is Galois with abelian Galois group.

Then there is a continuous one-to-one homomorphism

$$\omega_K : K^\times \longrightarrow \text{Gal}(K^{ab}/K)$$

with dense image, known as the reciprocity map.

Let  $L/K$  be a finite subextension of  $K^{ab}/K$ . Then  $\omega_K$  induces an isomorphism

$$\omega_{L/K} : K^\times / N_{L/K}(L^\times) \longrightarrow \text{Gal}(L/K).$$

Furthermore,  $L/K \mapsto N_{L/K}(L^\times)$  gives a one-to-one correspondence between finite subextensions  $L/K$  of  $K^{ab}/K$  and closed subgroups of  $K^\times$  with finite index.

## Filtrations and class field theory

$K^\times$  has a natural filtration by closed subgroups

$$K^\times \supset \mathcal{O}_K^\times \supset U_K^{(1)} \supset U_K^{(2)} \supset \dots,$$

where  $U_K^{(n)} = 1 + \mathcal{P}_K^n$ . For notational convenience we set  $U_K^{(0)} = \mathcal{O}_K^\times$ .

Let  $L/K$  be a finite subextension of  $K^{ab}/K$  and set  $G = \text{Gal}(L/K)$ . For  $n \geq 0$  let  $G^{(n)} = \omega_{L/K}(U_K^{(n)})$ . Then we get a filtration

$$G \supset G^{(0)} \supset G^{(1)} \supset G^{(2)} \supset \dots$$

of  $G$  which coincides with the “upper ramification filtration”.

What this means is the following: Let  $\sigma \in G^{(0)}$  with  $\sigma \neq \text{id}_L$ , and let  $n$  be maximum such that  $\sigma \in G^{(n)}$ . If  $n$  is small then  $\sigma(\pi_L)$  is far from  $\pi_L$ , while if  $n$  is large then  $\sigma(\pi_L)$  is close to  $\pi_L$ .

## Witt's theorem

Let  $K$  be a local field of characteristic  $p$ .

### Theorem (Witt [Wi36])

Let  $G$  be a finite  $p$ -group and let  $N \trianglelefteq G$ . Set  $\overline{G} = G/N$  and let  $L/K$  be a  $\overline{G}$ -extension. Then there exists an extension  $M/L$  such that  $M/K$  is Galois and there is an isomorphism of exact sequences

$$\begin{array}{ccccccc} 1 & \longrightarrow & \text{Gal}(M/L) & \longrightarrow & \text{Gal}(M/K) & \longrightarrow & \text{Gal}(L/K) \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \parallel \\ 1 & \longrightarrow & N & \longrightarrow & G & \longrightarrow & \overline{G} \longrightarrow 1. \end{array}$$

Let  $K[p]/K$  be the compositum of all the finite Galois subextensions of  $\text{Gal}(K^{\text{sep}}/K)$  whose Galois groups are  $p$ -groups. Then  $K[p]/K$  is the largest Galois subextension of  $K^{\text{sep}}/K$  whose Galois group is a pro- $p$  group.

### Corollary

$\text{Gal}(K[p]/K)$  is a free pro- $p$  group.

## A subextension of $K[p]/K$

Let  $K(p)/K$  be the largest Galois subextension of  $K[p]/K$  such that

- $\text{Gal}(K(p)/K)$  has nilpotence class  $< p$ .
- $\text{Gal}(K(p)/K)$  has exponent  $p$ .

Then  $\text{Gal}(K(p)/K)$  is free in the category of pro- $p$  groups with nilpotence class  $< p$  and exponent dividing  $p$ .

In [Ab95] and [Ab21] Abrashkin gave explicit descriptions of the ramification filtration of  $\text{Gal}(K(p)/K)$ .

## Higher ramification theory

Let  $K$  be a local field and let  $L/K$  be a finite Galois subextension of  $K^{\text{sep}}/K$ . Let  $G = \text{Gal}(L/K)$ , let  $L_0/K$  be the maximal unramified subextension of  $L/K$ , and set  $G_0 = \text{Gal}(L/L_0)$ . For nonnegative real  $v$  define

$$G_v = \{\sigma \in G_0 : v_L(\sigma(\pi_L) - \pi_L) \geq v + 1\}.$$

Say  $G_v$  is the  $v$ th lower ramification subgroup of  $G$ .

We have the following:

- $G_v \trianglelefteq G$ .
- If  $0 \leq w \leq v$  then  $G_v \leq G_w$ .
- $G_v = \{\text{id}_L\}$  for all sufficiently large  $v$ .
- Let  $M/K$  be a subextension of  $L/K$  and set  $H = \text{Gal}(L/M)$ . Then  $H_v = H \cap G_v$ .

Say  $\ell \geq 0$  is a lower ramification break of  $L/K$  if  $G_{\ell+\epsilon} \not\leq G_\ell$  for all  $\epsilon > 0$ . This holds if and only if there is  $\sigma \in G$  such that  $v_L(\sigma(\pi_L) - \pi_L) = \ell + 1$ .

## The upper numbering for ramification groups

Define the Hasse-Herbrand function  $\phi_{L/K} : \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$  by

$$\phi_{L/K}(x) = \frac{1}{[L : L_0]} \int_0^x |G_t| dt.$$

Then  $\phi_{L/K}$  is a bijection, so we can define  $\psi_{L/K} : \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$  by  $\psi_{L/K} = \phi_{L/K}^{-1}$ .

For  $y \geq 0$  set  $G^y = G_{\psi_{L/K}(y)}$ . Say  $G^y$  is the  $y$ th upper ramification subgroup of  $G$ .

Say  $u \geq 0$  is an upper ramification break of  $L/K$  if  $G^{u+\epsilon} \not\subseteq G^u$  for all  $\epsilon > 0$ . This holds if and only if  $\psi_{L/K}(u)$  is a lower ramification break of  $L/K$ .



## The upper numbering and quotients of Galois groups

Let  $M/K$  be a Galois subextension of  $L/K$  and set  $H = \text{Gal}(L/M)$ ; then  $\text{Gal}(M/K) \cong G/H$ . We have the following:

- $\phi_{L/K} = \phi_{M/K} \circ \phi_{L/M}$
- $\psi_{L/K} = \psi_{L/M} \circ \psi_{M/K}$
- $(G/H)^y = G^y H/H$

Hence the upper numbering on ramification subgroups is compatible with quotient groups and subextensions. In particular, if  $u$  is an upper ramification break of  $M/K$  then  $u$  is also an upper ramification break of  $L/K$ .

Let  $F/K$  be an infinite Galois subextension of  $K^{sep}/K$ . Then there are finite Galois subextensions  $F_n/K$  of  $F/K$  such that  $F_1 \subset F_2 \subset \cdots$  and  $F = \bigcup_{n \geq 1} F_n$ .

Set  $G = \text{Gal}(F/K)$  and  $H(n) = \text{Gal}(F/F_n)$  for  $n \geq 1$ . We can define an upper ramification filtration on  $G$  by setting

$$G^y = \varprojlim (G/H(n))^y$$

for  $y \geq 0$ .

## An example

Let  $K = \mathbb{Q}_2(\zeta_4)$ ; then  $\pi_K = \zeta_4 - 1$  is a uniformizer for  $K$ . Let  $\pi_L = \pi_K^{1/4}$  and set  $L = K(\pi_L)$ . Then  $\pi_L$  is a uniformizer for  $L$ .

$L/K$  is a cyclic extension of degree 4, with  $\text{Gal}(L/K) = \langle \sigma \rangle$  satisfying

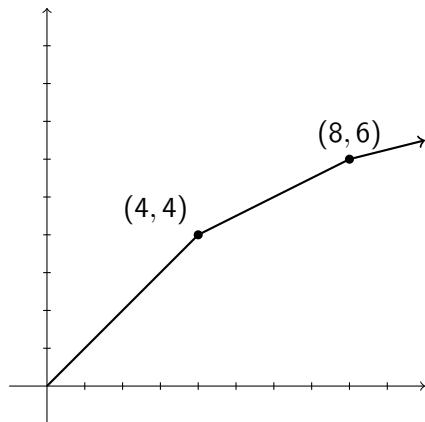
$$\begin{aligned}\sigma(\pi_L) &= \zeta_4 \pi_L = (1 + \pi_K) \pi_L = \pi_L(1 + \pi_L^4) \\ \sigma^2(\pi_L) &= (1 + \pi_K)^2 \pi_L = \pi_L(1 + \pi_L^8 + 2\pi_L^4) \\ \sigma^3(\pi_L) &= (1 + \pi_K)^3 \pi_L = \pi_L(1 + 3\pi_L^4 + 3\pi_L^8 + \pi_L^{12}).\end{aligned}$$

Hence  $v_L(\sigma(\pi_L) - \pi_L) = v_L(\sigma^3(\pi_L) - \pi_L) = 5$  and  $v_L(\sigma^2(\pi_L) - \pi_L) = 9$ .  
Therefore

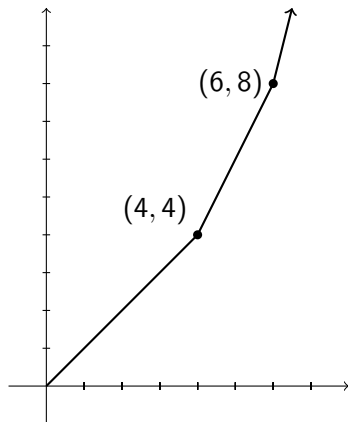
$$G_x = \begin{cases} \langle \sigma \rangle & (0 \leq x \leq 4) \\ \langle \sigma^2 \rangle & (4 < x \leq 8) \\ \{\text{id}_L\} & (8 < x). \end{cases} \quad G^y = \begin{cases} \langle \sigma \rangle & (0 \leq x \leq 4) \\ \langle \sigma^2 \rangle & (4 < x \leq 6) \\ \{\text{id}_L\} & (6 < x). \end{cases}$$

Thus  $L/K$  has lower ramification breaks 4, 8, and upper breaks 4, 6.

## Hasse-Herbrand functions for $L/K$



$$y = \phi_{L/K}(x)$$



$$y = \psi_{L/K}(x)$$

## Artin-Schreier extensions

Let  $K = k((t))$  be a local field of characteristic  $p$ , and let  $r \in K$  satisfy  $v_K(r) = -b < 0$  with  $p \nmid b$ .

Let  $\alpha \in K^{sep}$  be a root of  $g(X) = X^p - X - r$  and set  $L = K(\alpha)$ . Then by Artin-Schreier theory  $L/K$  is a cyclic extension of degree  $p$ , and there is a generator  $\sigma$  for  $\text{Gal}(L/K)$  such that  $\sigma(\alpha) = \alpha + 1$ .

Since  $p v_L(\alpha) = v_L(\alpha^p) = v_L(r)$  the extension  $L/K$  is totally ramified.

Since  $v_L(\alpha) = -b$  and  $p \nmid b$  it follows that  $b$  is the unique lower ramification break of  $L/K$ . Hence  $b$  is also the unique upper ramification break of  $L/K$ .

If  $v_K(r) < 0$  but  $p \mid v_K(r)$  then  $X^p - X - r$  may or may not be irreducible. Suppose  $X^p - X - r$  is irreducible and let  $L$  be generated over  $K$  by a root of  $X^p - X - r$ . Then the method described above is not sufficient to determine the ramification break  $u$  of  $L/K$ , but we do have  $u < -v_K(r)$ .

## Filtered unipotent groups over $\mathbb{F}_p$

Let  $\mathcal{G}$  be an algebraic group defined over  $\mathbb{F}_p$ . Assume further that there are polynomials

$$D_i \in \mathbb{F}_p[X_1, \dots, X_{i-1}, Y_1, \dots, Y_{i-1}]$$

such that the group operation is given by

$$\vec{X} * \vec{Y} = \begin{bmatrix} X_1 \\ X_2 \\ \vdots \\ X_n \end{bmatrix} * \begin{bmatrix} Y_1 \\ Y_2 \\ \vdots \\ Y_n \end{bmatrix} = \begin{bmatrix} X_1 + Y_1 \\ X_2 + Y_2 + D_2 \\ \vdots \\ X_n + Y_n + D_n \end{bmatrix}.$$

We say that  $\mathcal{G}$  is a *filtered unipotent group* over  $\mathbb{F}_p$ .

Let  $\vec{\beta} \in \mathcal{G}(K) \cong K^n$ . We wish to consider the system of equations  $\phi(\vec{X}) = \vec{\beta} * \vec{X}$ , where  $\phi$  acts as the  $p$ -Frobenius on coordinates.

## Extensions associated to algebraic groups

Suppose  $\vec{x} \in \mathcal{G}(K^{sep}) \cong (K^{sep})^n$  is a solution to  $\phi(\vec{X}) = \vec{\beta} * \vec{X}$ . Then  $x_1^p - x_1 = \beta_1$ , and for  $2 \leq i \leq n$  we have  $x_i^p - x_i = \beta_i + d_i$ , where

$$d_i = D_i(\beta_1, \dots, \beta_{i-1}, x_1, \dots, x_{i-1}).$$

It follows that the system  $\phi(\vec{X}) = \vec{\beta} * \vec{X}$  has  $p^n$  distinct solutions in  $(K^{sep})^n$ . Set  $L = K(x_1, \dots, x_n)$ . Then for  $\vec{c} \in \mathcal{G}(\mathbb{F}_p)$  we have

$$\phi(\vec{x} * \vec{c}) = \phi(\vec{x}) * \phi(\vec{c}) = (\vec{\beta} * \vec{x}) * \vec{c} = \vec{\beta} * (\vec{x} * \vec{c}).$$

Hence  $\vec{x} * \vec{c}$  is also a solution to  $\phi(\vec{X}) = \vec{\beta} * \vec{X}$ . Since  $|\mathcal{G}(\mathbb{F}_p)| = p^n$  the  $p^n$  distinct solutions to  $\phi(\vec{X}) = \vec{\beta} * \vec{X}$  are given by  $\{\vec{x} * \vec{c} : \vec{c} \in \mathcal{G}(\mathbb{F}_p)\}$ . Since  $\vec{x} * \vec{c} \in \mathcal{G}(L)$  it follows that  $L/K$  is Galois.

For  $\sigma \in \text{Gal}(L/K)$  let  $\vec{c}_\sigma$  be the unique element of  $\mathcal{G}(\mathbb{F}_p)$  such that  $\sigma(\vec{x}) = \vec{x} * \vec{c}_\sigma$ . The map  $\sigma \mapsto \vec{c}_\sigma$  gives a one-to-one homomorphism from  $\text{Gal}(L/K)$  into  $\mathcal{G}(\mathbb{F}_p)$ .

Therefore  $\vec{x}$  determines a homomorphism  $\theta_{\vec{x}} : \text{Gal}(K^{sep}/K) \rightarrow \mathcal{G}(\mathbb{F}_p)$ , with  $\theta_{\vec{x}}(\sigma) = \vec{c}_\sigma$ .

## Classifying Galois representations

Let  $\vec{x}$  and  $\vec{y}$  be solutions to  $\phi(\vec{X}) = \vec{\beta} * \vec{X}$ . Then there is  $\vec{d} \in \mathcal{G}(\mathbb{F}_p)$  such that  $\vec{y} = \vec{x} * \vec{d}$ . Hence for  $\sigma \in \text{Gal}(K^{\text{sep}}/K)$  we have

$$\vec{y} * \theta_{\vec{y}}(\sigma) = \sigma(\vec{y}) = \sigma(\vec{x} * \vec{d}) = \vec{x} * \theta_{\vec{x}}(\sigma) * \vec{d} = \vec{y} * \vec{d}^{-1} * \theta_{\vec{x}}(\sigma) * \vec{d}.$$

Therefore  $\theta_{\vec{y}}(\sigma) = \vec{d}^{-1} * \theta_{\vec{x}}(\sigma) * \vec{d}$ . It follows that  $\vec{\beta}$  determines a conjugacy class of homomorphisms from  $\text{Gal}(K^{\text{sep}}/K)$  to  $\mathcal{G}(\mathbb{F}_p)$ .

Let  $\vec{\alpha} \in \mathcal{G}(K)$  and set  $\vec{\beta}' = \phi(\vec{\alpha}) * \vec{\beta} * \vec{\alpha}^{-1}$ . If  $\vec{x}$  is a solution to  $\phi(\vec{X}) = \vec{\beta} * \vec{X}$  then  $\vec{z} := \vec{\alpha} * \vec{x}$  is a solution to  $\phi(\vec{X}) = \vec{\beta}' * \vec{X}$ . It follows that  $\theta_{\vec{z}} = \theta_{\vec{x}}$ .

Define an equivalence relation on  $\mathcal{G}(K)$  by  $\vec{\beta} \sim \vec{\beta}'$  if there is  $\vec{\alpha} \in \mathcal{G}(K)$  such that  $\vec{\beta}' = \phi(\vec{\alpha}) * \vec{\beta} * \vec{\alpha}^{-1}$ . Then we have

### Theorem (Galois classification theorem)

*Let  $\mathcal{G}$  be a filtered unipotent group over  $\mathbb{F}_p$ . Then there is a one-to-one correspondence between equivalence classes  $[\vec{\beta}]$  of elements of  $\mathcal{G}(K)$  and conjugacy classes of homomorphisms from  $\text{Gal}(K^{\text{sep}}/K)$  to  $\mathcal{G}(\mathbb{F}_p)$ , which maps  $[\vec{\beta}]$  to the conjugacy class of  $\theta_{\vec{x}}$  for any  $\vec{x}$  such that  $\phi(\vec{x}) = \vec{\beta} * \vec{x}$ .*

## Witt vectors

Let  $W_n$  denote the  $p$ -Witt vectors of length  $n$ . Then the Witt vector addition operation  $\oplus$  makes  $W_n$  an  $n$ -dimensional filtered unipotent group over  $\mathbb{F}_p$ .

Let  $\vec{\beta}, \vec{\beta}' \in W_n(K)$ . Then  $\vec{\beta} \sim \vec{\beta}'$  if and only if there is  $\vec{\alpha} \in W_n(K)$  such that  $\vec{\beta}' = \phi(\vec{\alpha}) \oplus \vec{\beta} \ominus \vec{\alpha}$ .

Thus there is a one-to-one correspondence between equivalence classes  $[\vec{\beta}]$  with  $\vec{\beta} \in W_n(K)$  and homomorphisms

$$\theta : \text{Gal}(K^{\text{sep}}/K) \longrightarrow W_n(\mathbb{F}_p) \cong \mathbb{Z}/p^n\mathbb{Z}.$$

In particular, by taking  $n = 1$  we recover Artin-Schreier theory.



## The Heisenberg group

Let  $p > 2$ . The Heisenberg group  $G$  is isomorphic to  $\mathcal{G}(\mathbb{F}_p)$ , where  $\mathcal{G}$  is the algebraic group over  $\mathbb{F}_p$  whose  $K^{sep}$ -points are

$$\mathcal{G}(K^{sep}) = \left\{ \begin{bmatrix} 1 & c_1 & c_3 \\ 0 & 1 & c_2 \\ 0 & 0 & 1 \end{bmatrix} : c_i \in K^{sep} \right\},$$

with the operation of matrix multiplication. Then  $\mathcal{G}$  is a 3-dimensional filtered unipotent group over  $\mathbb{F}_p$ .

## The Heisenberg group ...

Let  $\vec{\beta} = (\beta_1, \beta_2, \beta_3) \in \mathcal{G}(K)$  and let  $\vec{x}$  satisfy  $\phi(\vec{x}) = \vec{\beta} * \vec{x}$ . Since

$$\begin{bmatrix} 1 & \beta_1 & \beta_3 \\ 0 & 1 & \beta_2 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & x_1 & x_3 \\ 0 & 1 & x_2 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & \beta_1 + x_1 & \beta_3 + x_3 + \beta_1 x_2 \\ 0 & 1 & \beta_2 + x_2 \\ 0 & 0 & 1 \end{bmatrix},$$

we get

$$\vec{\beta} * \vec{x} = (\beta_1 + x_1, \beta_2 + x_2, \beta_3 + x_3 + \beta_1 x_2).$$

It follows that  $\phi(\vec{x}) = \vec{\beta} * \vec{x}$  if and only if the entries of  $\vec{x} = (x_1, x_2, x_3)$  satisfy

$$x_1^p - x_1 = \beta_1$$

$$x_2^p - x_2 = \beta_2$$

$$x_3^p - x_3 = \beta_3 + \beta_1 x_2.$$

## Filtered pro-unipotent groups

A *filtered pro-unipotent group*  $\mathcal{G}$  over  $\mathbb{F}_p$  is given by a sequence of polynomials

$$D_i \in \mathbb{F}_p[X_1, \dots, X_{i-1}, Y_1, \dots, Y_{i-1}]$$

such that for each  $n \geq 1$

$$\vec{X} *_n \vec{Y} = \begin{bmatrix} X_1 \\ X_2 \\ \vdots \\ X_n \end{bmatrix} *_n \begin{bmatrix} Y_1 \\ Y_2 \\ \vdots \\ Y_n \end{bmatrix} = \begin{bmatrix} X_1 + Y_1 \\ X_2 + Y_2 + D_2 \\ \vdots \\ X_n + Y_n + D_n \end{bmatrix}$$

defines a filtered unipotent group over  $\mathbb{F}_p$ .

The operations  $*_n$  for  $n \geq 1$  combine to give a group operation on  $\mathcal{G}$  which we denote by  $*$ .

## Filtered pro-unipotent groups and Galois representations

Let  $(\mathcal{G}, *)$  be a filtered pro-unipotent group and let  $\vec{\beta} = (\beta_1, \beta_2, \dots) \in \mathcal{G}(K)$ . Then there exists  $\vec{x} = (x_1, x_2, \dots) \in \mathcal{G}(K^{sep})$  such that  $\phi(\vec{x}) = \vec{\beta} * \vec{x}$ . Set  $L = K(x_1, x_2, \dots)$ ; then  $L$  is independent of the choice of  $\vec{x}$ .

For  $\sigma \in \text{Gal}(L/K)$  there is unique  $\vec{c}_\sigma \in \mathcal{G}(\mathbb{F}_p)$  such that  $\sigma(\vec{x}) = \vec{x} * \vec{c}_\sigma$ . The map  $\theta_{\vec{x}} : \text{Gal}(K^{sep}/K) \rightarrow \mathcal{G}(\mathbb{F}_p)$  defined by  $\theta_{\vec{x}}(\sigma) = \vec{c}_\sigma$  induces a one-to-one homomorphism from  $\text{Gal}(L/K)$  into  $\mathcal{G}(\mathbb{F}_p)$ .

As in the finite-dimensional setting,  $\vec{\beta}$  determines a conjugacy class of homomorphisms from  $\text{Gal}(K^{sep}/K)$  to  $\mathcal{G}(\mathbb{F}_p)$ .

Define an equivalence relation on  $\mathcal{G}(K)$  by  $\vec{\beta} \sim \vec{\beta}'$  if there is  $\vec{\alpha} \in \mathcal{G}(K)$  such that  $\vec{\beta}' = \phi(\vec{\alpha}) * \vec{\beta} * \vec{\alpha}^{-1}$ .

The Galois classification theorem applies here: There is a one-to-one correspondence between equivalence classes  $[\vec{\beta}]$  of elements of  $\mathcal{G}(K)$  and conjugacy classes of homomorphisms from  $\text{Gal}(K^{sep}/K)$  to  $\mathcal{G}(\mathbb{F}_p)$  which maps  $[\vec{\beta}]$  to the conjugacy class of  $\theta_{\vec{x}}$  for any  $\vec{x}$  such that  $\phi(\vec{x}) = \vec{\beta} * \vec{x}$ .

## Witt vectors again

Let  $W$  denote the full ring of  $p$ -Witt vectors. Then  $(W, \oplus)$  is a filtered pro-unipotent group over  $\mathbb{F}_p$ .

Let  $\vec{\beta}, \vec{\beta}' \in W(K)$ . Then  $\vec{\beta} \sim \vec{\beta}'$  if and only if there is  $\vec{\alpha} \in W(K)$  such that  $\vec{\beta}' = \phi(\vec{\alpha}) \oplus \vec{\beta} \ominus \vec{\alpha}$ .

Thus there is a one-to-one correspondence between equivalence classes  $[\vec{\beta}]$ , with  $\vec{\beta} \in W(K)$ , and homomorphisms

$$\theta : \text{Gal}(K^{\text{sep}}/K) \longrightarrow W(\mathbb{F}_p) \cong \mathbb{Z}_p.$$

## Lie algebras and $p$ -groups

Let  $\mathcal{L}$  be a Lie algebra over  $\mathbb{F}_p$  which is nilpotent of class  $c < p$ .

The Baker-Campbell-Hausdorff formula defines a group operation on  $\mathcal{L}$ . This operation is expressed in terms of the Lie algebra operations  $+$  and  $[ , ]$ :

$$x * y = x + y + \frac{1}{2} \cdot [x, y] + \frac{1}{12} \cdot ([x, [x, y]] - [y, [x, y]]) + \dots$$

Since  $\mathcal{L}$  is nilpotent of class  $c < p$ , the Baker-Campbell-Hausdorff formula for  $\mathcal{L}$  has only finitely many terms. The coefficients are rational numbers whose denominators are not divisible by  $p$ .

The operation  $*$  makes  $\mathcal{L}$  a group with exponent  $p$  and nilpotence class  $c$ .

Let  $1 \leq d < p$ . This construction defines an equivalence between the category of Lie algebras over  $\mathbb{F}_p$  with nilpotence class  $\leq d$  and the category of groups  $G$  with nilpotence class  $\leq d$  such that  $g^p = 1$  for all  $g \in G$ .

## Lie algebras and filtered (pro-)unipotent groups

Let  $\mathcal{L}$  be a finite Lie algebra over  $\mathbb{F}_p$  with nilpotence class  $c < p$ . Then  $\mathcal{L} \otimes_{\mathbb{F}_p} K^{sep}$  is a Lie algebra over  $K^{sep}$ , also with nilpotence class  $c$ .

Let  $*$  be the operation on  $\mathcal{L} \otimes_{\mathbb{F}_p} K^{sep}$  defined by the Baker-Campbell-Hausdorff formula and set  $\mathcal{G} = (\mathcal{L} \otimes_{\mathbb{F}_p} K^{sep}, *)$ . Then  $\mathcal{G}(\mathbb{F}_p) \cong (\mathcal{L}, *)$ .

Since  $*$  :  $\mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$  is a polynomial map, by choosing an appropriate  $\mathbb{F}_p$ -basis for  $\mathcal{L}$  we can make  $\mathcal{G}$  a filtered unipotent group.

Now suppose that  $\mathcal{L}$  is an inverse limit of a sequence  $\mathcal{L}_1, \mathcal{L}_2, \dots$  of Lie algebras over  $\mathbb{F}_p$  such that  $\dim_{\mathbb{F}_p}(\mathcal{L}_n) = n$ . In this case, the choice of an appropriate topological  $\mathbb{F}_p$ -basis for  $\mathcal{L}$  makes  $\mathcal{G}$  a filtered unipotent group, with  $\mathcal{G}(\mathbb{F}_p) \cong (\mathcal{L}, *)$ .

In either case we can use the Galois classification theorem to determine the conjugacy classes of Galois representations

$$\theta : \text{Gal}(K^{sep}/K) \longrightarrow \mathcal{G}(\mathbb{F}_p).$$

in terms of equivalence classes of elements of  $\mathcal{G}(K)$ .

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